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Standard Business Reporting

Australian Taxation Office

SuperTICK (stic.0002)

Message Implementation Guide

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Production Release – suitable for use

This document and its attachments are **Unclassified**



For further information or questions, contact the SBR Service Desk at [SBRServiceDesk@sbr.gov.au](mailto:SBRServiceDesk@sbr.gov.au) or call 1300 488 231.  
International callers may use +61-2-6216 5577



VERSION CONTROL

| **Version** | **Release date** | **Description of changes** |
| --- | --- | --- |
| 0.1 | 04/07/2014 | Initial version in new MIG format with contents derived from previous SuperTICK (stic.0001) MIG and incorporating updates for stic.0002. See Release Note for details of changes from stic.0001 to stic.0002. |
| 1.0 | 26/08/2014 | Updated to Final version |

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| ENDORSEMENT  APPROVAL |  |  |
|  | Chief Solutions Architect  Standard Business Reporting | |
| Grahame Dunnicliff | Director  Strategic Web Services  Australian Taxation Office | |

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Introduction

Purpose

The purpose of this Message Implementation Guide (MIG) is to assist software developers in the implementation of the Standard Business Reporting (SBR) for the Australian Taxation Office (ATO) SuperTICK service.

In addition, this MIG provides information for software developers in generating bulk SuperTICK request files for upload to the ATO Business Portal and in processing the bulk SuperTICK response files.

The ATO SuperTICK service enables superannuation providers to verify an individual’s tax file number (TFN) or obtain a member’s TFN which has been quoted for super purposes by matching identity information against details held by the ATO.

In addition, the service allows new member account details to be provided to the ATO, which are then displayed on the members list of superannuation accounts on SuperSeeker.

Audience

This document contains the necessary information required for ATO SuperTICK service (stic.0002) implementation.

This MIG will not describe the business interactions (B2B or B2G) that MAY utilise this service.

It is assumed that the reader (software developer) is familiar with the documentation within the Supporting Documents section.

Document context

A *message implementation guide* (MIG) describes the way in which web services are choreographed to create a composite service to fulfil an SBR reporting obligation.

Rather than a single MIG document, since 2014, the ATO has produced a separate MIG package for each ATO product. The MIG package for the ATO SuperTICK service comprises:

* SuperTICK message implementation guide (this document)
* ATO common MIG
* SuperTICK validation rules
* SuperTICK message structure

Refer to the *ATO common MIG* for a further description of these documents.

This package, together with the supporting documentation described in the *ATO common MIG* and below, contains the necessary information required to create request messages for the ATO SuperTICK (stic.0002) service and handle response messages from this service.

Supporting documentation

| **Document name** | **Link and description** |
| --- | --- |
| ATO common MIG | <http://www.sbr.gov.au/software-developers/developer-tools/ato/ato-superannuation-data-and-payment-standards/stic>  Guidelines common to all ATO products that use the SBR channel. |
| ATO SuperTICK (stic.0002.lodge.request) message structure | <http://www.sbr.gov.au/software-developers/developer-tools/ato/ato-superannuation-data-and-payment-standards/stic>  Details the message structure of the ATO SuperTICK stic.0002.lodge.request message. Lists all the elements applicable to the ATO SuperTICK request message and defines the context, structure and attributes of the data elements. |
| ATO SuperTICK (stic.0002.lodge.response) message structure | <http://www.sbr.gov.au/software-developers/developer-tools/ato/ato-superannuation-data-and-payment-standards/stic>  Details the message structure of the ATO SuperTICK stic.0002.lodge.response message. Lists all the elements applicable to the ATO SuperTICK request message and defines the context, structure and attributes of the data elements. |
| ATO SuperTICK request validation rules | <http://www.sbr.gov.au/software-developers/developer-tools/ato/ato-superannuation-data-and-payment-standards/stic>  Lists validation rules applicable to ATO SuperTICK (stic.0002) request message. |
| ATO SuperTICK (stic.0002) Release Notes | <http://www.sbr.gov.au/software-developers/developer-tools/ato/ato-superannuation-data-and-payment-standards/stic>  Details changes to SuperTICK service from stic.0001 to stic.0002. |
| SuperTICK Schematron | **http://www.sbr.gov.au/software-developers/developer-tools/ato/ato-superannuation-data-and-payment-standards/stic**  A compressed file containing the Schematron rules for ATO SuperTICK request message. |

Business Overview

The ATO SuperTICK service allows superannuation providers to match a member’s details to information held by the ATO using the member’s TFN and other key identity information. The service also enables superannuation providers to optionally advise the ATO of a new member account.

In the SuperTICK service and this MIG, the term ‘Intermediary’ is used for the sender of the SuperTICK service request. The term ‘Reporting Party’ is used for the superannuation fund or retirement savings account (RSA) provider that requests the validation of an individual’s identity. Note that when a superannuation provider (or RSA) sends a SuperTICK request on their own behalf the Intermediary and Reporting Party will refer to the same entity.

Superannuation providers may use the service, according to regulations, to validate member’s identity information in order to:

* validate a new member registering with the superannuation provider;
* validate a member prior to first contribution;
* confirm an individual’s proof of identity (POI) prior to rolling over an account to another superannuation provider; or
* verify the TFN they hold for a member on their client registry.

When the superannuation provider includes member account details in a validation request message, the ATO may use this account as a destination for superannuation monies held by the ATO.

The service can only be used on behalf of beneficiaries, or applicants to become beneficiaries of the reporting party within the message.

This service MUST NOT be used for any purpose other than those outlined in the regulations and MUST be used in the manner stipulated in the terms and conditions of use of this service. The terms and conditions of use of this service are available at the ATO web site:

[https://www.ato.gov.au/Super/SuperStream/In-detail/Validation services/SuperTICK/SuperTICK-terms-and-conditions/](https://www.ato.gov.au/Super/SuperStream/In-detail/Validation%20services/SuperTICK/SuperTICK-terms-and-conditions/)

The service MUST NOT be used for testing purposes or submitting test data. A test environment is available separately for testing purposes.

Request Information

Request Reason

This service will rely on the reason for the service request to be provided. The reason indicates whether the purpose of the request is:

* To validate the TFN only (code “VALIDENT”); or
* To validate the TFN and notify of a new member account (code “VALNEWMEM”).

The reason for requesting the SuperTICK service is mandatory.

Identity Information

This service will rely on the following four core pieces of information to be provided for the individual to enable the ATO to find a match:

* Tax file number (TFN);
* Full name;
* Date of birth; and
* Residential address.

Individual’s given name, family name and year of birth are mandatory. Persons that are known to the ATO by only one name should provide that name for both given name and family name. The individual’s TFN, other given names, day of birth, month of birth and address details are optional. **Note** that if the individual’s TFN is not provided then full date of birth and full residential address details are required.

The software developer and all users of this service should be aware that when using the service to validate a member’s TFN, under Section 299TD of the Superannuation Industry (Supervision) Act 1993 (SISA) , the trustee MUST give the Commissioner information the trustee believes to be the full name, tax file number and date of birth of a person, and/or the address of a person.

Even though the full name, full date of birth and address are not mandatory in the message, providing this information ensures the use of the service conforms with the law, will increase the speed of the response and probability of finding a valid match with the ATO.

New Member Account Information

When notifying of a new member account, this service will require the following information to be provided for the individual to enable the ATO to record the new member account:

* Account number;
* Unique Superannuation Identifier (USI) for member’s superannuation product; and
* Account opened date.

The account number, USI and account opened date are mandatory where the request reason for ‘validate the TFN and notify of new member account’ is provided.

Response Information

The response information returned by this service will depend on whether the request has successfully passed validation.

Unsuccessful Requests

This service will return a response providing details of validation errors where a request message does not successfully pass validation.

Successful Requests

This service will return a response for a successfully validated request. In addition to a ‘message accepted’ message and lodgment date and time details, the response that may be returned is either:

* Valid;
* Valid with corrected TFN; or
* Not Valid.

A ‘valid’ response means the ATO has matched the individual’s details to the TFN provided.

If the Commissioner, using the member data provided, matches the client to a different TFN this corrected TFN is returned to the fund as a notice under the existing provisions of Section 299TA of the Superannuation Industry (Supervision) Act 1993 (SISA).

Where a TFN has not been provided in the message, but member data supplied , the Commissioner is able to match the client to a TFN which has been quoted for superannuation purposes previously.This TFN will be returned to the fund as a notice under Section 299TC provision of the Superannuation Industry (Supervision) Act 1993 (SISA).

A ’not valid’ response means the ATO was unable to match the member details provided to ATO records with an appropriate level of confidence. The ATO may have been unable to match the member details for the following reasons:

* The member has provided the fund with incorrect or incomplete details;
* The records held by the ATO may be incorrect;
* The tax file number has a protected, compromised or duplicate status on ATO systems; or
* The ATO data matching system cannot establish a single match to a high enough level of confidence.

We encourage you to check the information with the member and revalidate.

To update their records on ATO systems, individuals should call 13 28 61. They should have a copy of a personalised ATO document (such as an income tax assessment from the last three years) in order to pass the proof of identity requirements.

Where a ‘not valid’ response is returned and new member details were provided to the ATO in the request message, the account details provided will not be recorded by the ATO.

If the TFN provided in a successfully validated request did not pass the TFN algorithm check, a warning message will also be included in the response.

The information provided in the response will assist superannuation providers obtain correct client registry data at registration; prior to the payment of the first contribution; or prior to rolling over an account to another provider. Correct client registry data will ensure payments are streamed more efficiently to the correct account; reduce lost super; and minimise administration costs resulting in increased member benefits.

Access to the Service

Access to the SuperTICK service is only available to superannuation providers that are known to the ATO, or for an Intermediary (sender) who has been appointed by a superannuation provider through the ATO product Access Manager (AM).

The ATO will check against its records that the Intermediary (sender) is authorised to perform the requested action for the Reporting Party (requesting superannuation provider).

The authorisation check will compare the identity and permissions associated with the Intermediary’s (sender’s) AUSkey against the identity provided in the business document for the Reporting Party (superannuation provider).

If the Intermediary (sender) is a business intermediary (such as a fund administrator or parent entity) then the ATO must be notified that a business relationship exists between the superannuation provider and business intermediary, as described below.

If authorisation fails, then a response message communicating this in the SBDH Message Event section will be returned using the error code: CMN.ATO.GEN.200000.

Business Intermediaries

This service will allow business intermediaries to act on behalf of a business entity but the business intermediary must have an active AUSkey and the entity must have notified the ATO of their relationship with the business intermediary.

The ATO product Access Manager (AM) allows administrator AUSkey holders to appoint another business to act on their behalf. This is known as making a ‘business appointment’. The appointee, in turn, is then able to set permissions for their staff members who hold a standard AUSkey to access this service.

The functionality is accessed within AM via the ATO website where the AUSkey holder may navigate to online services on the right hand side of the screen and use the AM link. Those with access to the business portals may use the link to AM on the left hand side of the page.

For help, users may click the link in the page level menu of AM. They may then view the help document and click the PDF link which will offer detailed help. The document provides information about navigation and functionality such as business appointments.

Terms and Conditions

An Intermediary (sender) of a request to the SuperTICK service acknowledges their acceptance of the terms and conditions developed for the use of this service by submitting a request to this service.

The following statement MUST be displayed to the user on first use of this service to assist in educating users of the SuperTICK service on their responsibilities:

*Your access to this service is subject to the terms and conditions for use of this service. Your use of the service signifies your acceptance of these terms and conditions. The current terms and conditions are published on the ATO website at <http://www.ato.gov.au/Super/Data-standards/In-detail/Validation-services/>*

General instructions

This section provides instructions that are specific to the SuperTICK service. Refer to the *ATO common MIG* for information that is consistently applied across all or most ATO collaborations.

SBDH Variations

The following sections refer to the SBR message structures called the Standard Business Document Message (SBDM), Standard Business Document Header (SBDH) and the Standard Business Document Body (SBDB). These structures are described in detail within SBR Web Services Implementation Guide (WIG) and the following sections will describe additional rules or specific variations from what is defined within the WIG.

Business documents

For request messages sent to the SBR channel, only one SuperTICK Business Document (XBRL instance) will be accepted. If any other business document is provided with a SuperTICK request business document, message CMN.ATO.GEN.001030 will be returned.

Request message files uploaded to the bulk channel may contain one or more Business Documents (XBRL instances).

Attachments.

No attachments will be accepted or provided for SuperTICK interactions.

Document identifiers

The sbdm:BusinessDocument.BusinessGeneratedIdentifier.Text field MUST be provided in a SuperTICK request.

All sbdm:BusinessDocument.BusinessGeneratedIdentifier.Text fields in a SuperTICK bulk request message file SHALL be copied to the corresponding SuperTICK bulk response message files.

The sbdm:BusinessDocument.GovernmentGeneratedIdentifier.Text field MUST NOT be used for SuperTICK requests.

The sbdm:BusinessDocument.GovernmentGeneratedIdentifier.Text field SHALL be populated for validated SuperTICK single response messages that return a matched result. The value represents a transaction identifier used during processing within the ATO.

The sbdm:BusinessDocument.GovernmentGeneratedIdentifier.Text field SHALL be populated for SuperTICK bulk response message files. The value will be the file download identifier.

The sbdm:Lodgement.Receipt.Identifier field SHALL be populated for SuperTICK bulk response message files. The value will be the lodgement receipt identifier issued for the corresponding uploaded SuperTICK request message file. Software developers can use this field to relate each downloaded SuperTICK response file to its corresponding request file.

Interactions

SBR supports one interaction for SuperTICK request messages:

**Lodge** This includes 'stic.0002.lodge.request' which allows a message to be sent to the ATO, validatedand processed by the ATO; and stic.0002.lodge.response' which is sent by the ATO in response to the‘stic.0002.lodge.request’.

Business applicability period

SuperTICK (stic.0002) will be available from 1 January 2015. In SBR, the latest schema for the SuperTICK service will not have a designated expiry date. The reporting taxonomy will continue to be valid until a change is required.

Report Version

The SBR report version for the SuperTICK service is **stic.0002.02.00**

Lodge interactions specification

|  |  |
| --- | --- |
| **Interaction name** | stic.0002.lodge |
| **Description** | This interaction allows an initiating party to lodge a SuperTICK request for the validation of a superannuation fund member’s identifying details with the Australian Taxation Office, and optionally lodge new member account details to the Australian Taxation Office for recording. |
| **Stakeholders** | Reporting Party (requesting superannuation provider), Intermediary (sender), Superfund member (individual), ATO |
| **Pre-conditions** | Refer to the SBR ATO common MIG - Prerequisites section. |
| **Post-conditions** | For a successful lodgment the ATO will return:   * a message event item informing the result of matching the super fund member’s details for each stic.0002.lodge.request. * One or more message event item(s) containing a list of warnings (for data that may be incorrect) * A response business document, if a corrected TFN is provided.   For an unsuccessful lodgment the ATO will return:   * one or more message event item(s) containing a list of errors (for data that is incorrect or incomplete).   The Intermediary may then correct and re-submit the request. |
| **Initiating party** | Intermediary |
| **Channel** | SBR |
| **Core service map** | Lodge |

Lodge request message

Discoverable taxonomy set references

|  |  |
| --- | --- |
| Schema | stic.0002.lodge.request.02.00.report.xsd  stic.0002.private.02.00.module.xsd |
| Linkbases | stic.0002.lodge.request.02.00.defLink.xml  stic.0002.private.02.00.defLink.xml |
| stic.0002.lodge.request.02.00.labLinkInfoCls.xml  stic.0002.private.02.00.labLinkInfoCls.xml |
| stic.0002.lodge.request.02.00.presLink.xml |
| stic.0002.lodge.request.02.00.refLink.xml |
| Example instance | Please refer to the SuperTICK conformance suite for reporting taxonomy sample instances. |

Standard business document header content

The *WIG* provides the specification of the SBDH. The following table specifies the message specific data element values and variations to the *WIG*.

| Attribute name | Instructions/Rules | SBR message code |
| --- | --- | --- |
| sbdm:Message.Type.Text | Mandatory – Message.Type.Text must be “stic.0002.lodge.request” for SuperTICK requests. | CMN.ATO.GEN.100002 |
| sbdm:BusinessDocument.BusinessGeneratedIdentifier.Text | Mandatory – identifier must be set to a unique identifier for the document being lodged. | CMN.ATO.GEN.100001 |

Standard business document body content

The facts (data elements) required in the instance document are defined and described in the *SuperTICK (stic.0002.lodge.request) message structure* spreadsheet. The associated validation rules are documented in the *SuperTICK validation rules* spreadsheet.

Lodge response messages

Discoverable taxonomy set references

|  |  |
| --- | --- |
| Schema | stic.0002.lodge.response.02.00.report.xsd  stic.0002.private.02.00.module.xsd |
| Linkbases | stic.0002.lodge.response.02.00.defLink.xml  stic.0002.private.02.00.defLink.xml |
| stic.0002.lodge.response.02.00.labLinkInfoCls.xml  stic.0002.private.02.00.labLinkInfoCls.xml |
| stic.0002.lodge.response.02.00.presLink.xml |
| stic.0002.lodge.response.02.00.refLink.xml |
| Example instance | Please refer to the SuperTICK conformance suite for reporting taxonomy sample instances. |

Standard business document header content

The WIG provides the specification of the SBDH. The following table specifies the message specific data element values or any variations to the WIG.

| **Attribute name** | **Instructions/Rules** |
| --- | --- |
| sbdm:Message.Type.Text | Mandatory – value shall be ‘stic.0002.lodge.response’. |
| sbdm:Lodgement.Receipt.Identifier | N/A – will not be provided. |
| sbdm:Lodgement.Receipt.Datetime | Optional – will be provided for successful lodgments. |
| sbdm:BusinessDocument.GovernmentGeneratedIdentifier.Text | Optional – will be provided for successful lodgments. |

Standard business document body content

The facts (data elements) required in the instance document are defined and described in the *SuperTICK (stic.0002.lodge.response) message structure* spreadsheet.

Returning Identity Validation Result

When a SuperTICK request is successfully received, the ATO will validate the Super Fund Member’s details against ATO records. The result of this check will be returned in a Message Event in the SuperTICK response. The message code, severity and short description will be one of those listed in following table.

| **SBR Msg Code** | **Severity** | **Short and Detailed Descriptions** |
| --- | --- | --- |
| CMN.ATO.STIC.VALID | Information | Short: A match has been found for the TFN supplied.  Detailed: A match has been found for the tax file number and member information supplied. |
| CMN.ATO.STIC .NOTVALID | Information | Short: No match has been found for the TFN supplied.  Detailed: No match has been found for the tax file number and member information supplied. |
| CMN.ATO.STIC.VALIDCORRECTEDTFN | Information | Short: A match has been found but supplied TFN is not correct.  Detailed: A match has been found but the supplied tax file number is not correct. The correct tax file number is provided in the message body. |

Returning vaild corrected TFN

Under Section 299TA of the *Superannuation Industry (Supervision) Act 1993*, the ATO is permitted to return an alternative TFN to the requesting superannuation provider where a TFN has been provided to the ATO. This would only occur when a TFN has been provided in the request message and a successful match was achieved but the ATO matched a different TFN. If an alternate TFN is identified then this ATO matched TFN is provided back to the superannuation provider using the data element *pyid.02.00:Identifiers.TaxFileNumber.Identifier*.

Under section 299TC of the *Superannuation Industry (Supervision) Act 1993* the Commissioner is permitted to return a TFN to a fund, where a TFN has not been provided and the person has quoted their TFN to another person for superannuation purposes (within the meaning of the *Income Tax Assessment Act 1997*).

If an alternate TFN is not identified then this element will not be contained within the response message.

# Message Implementation Model–Business Contextualisation

The following diagrams show each of the facts and contexts, grouped under headings. The diagrams have been included to assist with understanding the message structure for SuperTICK (stic.0002) requests. For technical details see the SuperTICK (stic.0002.lodge.request) message structure spreadsheet.

Sender details (Intermediary)



Fund details (Reporting party)



Individual's (member's) details



Response - Individual's (Member’s) details



# Support for Multiple Request (Bulk) Messages

ATO provides an SBR channel for single requests offering a real-time response and a bulk channel for multiple requests. A common report taxonomy is used for both single (real-time) requests and multiple (bulk) requests.

Request message files for the bulk channel should be uploaded to the file transfer facility in the ATO Business Portal. Message files MUST have SBDM, SBDH and SBDB (with SuperTICK request Business Documents), as specified in the WIG. However the SBDM MUST NOT be enclosed in a SOAP envelope and MUST NOT be encrypted with the sender’s AUSKey.

Users of the ATO Business Portal file transfer facility will be notified by email when there is a response message available to download.

Instructions for uploading request files to the ATO Business Portal file transfer facility and downloading the response files are available on the ATO web site ([www.ato.gov.au](http://www.ato.gov.au)).

Request Message Structure – Bulk file

SuperTICK request files uploaded to the Business Portal must be enclosed in a SBDM structure as specified in Section 3 of the Web Services Implement Guide (WIG). A SOAP envelope must not be used. The SBDH will conform to the schema specified in the WIG.

The SBDB will contain one or more Business Documents, each of which must contain one SuperTICK request (stic.0002.lodge.request). Each SuperTICK request must have the identity details for one super fund member, the fund (Reporting Party) and sender (Intermediary).

The structure of bulk request message files is illustrated in Figure 1.

Standard Business Document Message

Standard Business Document Body

**…**

Standard Business Document Header

Business Document (1)

stic.0002.lodge.request (1)

Business Document (n)

stic.0002.lodge.request (1)

Business Document (2)

stic.0002.lodge.request (1)

Figure – Bulk Request Message File Structure

All SuperTICK requests in the request file must have the same sender (Intermediary) and fund (Reporting Party). The Intermediary and Reporting Party must be identified when the request file is uploaded to the Business Portal. ATO will perform an authorisation check that the ABN of the Intermediary matches the ABN associated with the AUSKey used to log on to the Business Portal to upload the request file. The authorisation check will also verify that the Reporting Party in each SuperTICK request has a business appointment in Access Manager (AM) for the Intermediary.

Validation of Bulk Message Files

SuperTICK request files uploaded to the Business Portal will first undergo generic file upload checks for the whole file. If any generic checks fail, the results will be included in a plain-text validation report which will be available for download from the Business Portal. The following table lists the error messages that may be returned.

|  |  |  |
| --- | --- | --- |
| **Error Code** | **Description** | **Generic Message** |
| GE2 | The compressed file is corrupted and cannot be uncompressed. | The compressed file is corrupted and cannot be processed. Please try again. |
| GE3 | BDE will notify the external user if a virus or malware is detected in a file. | The file cannot be processed, a virus has been detected. |
| GE4 | Empty file detected. | The file supplied is empty - it contains zero bytes. |
| GE7 | Invalid Report Specification version number found. Report Specification version number field must contain '<Report Specification version number>'. | The version of this file type cannot be sent by this channel. Other report types and versions may be able to be sent through another ATO channel. More information can be found at: www.ato.gov.au/filetransfer or contact your software developer. |
| GE10 | A zip file is found within a zip file | The file cannot be processed as it contains a compressed file within a compressed file. |
| GE15 | No files found within a compressed file. | The compressed file contains no files. Please try again. |

Once the file type has been determined, further checks are performed to validate the schema in the request file. Any errors will be returned as MessageEventItems in the SBDH of an XML validation report, as described in Section 3.4. The following table lists the error messages that may be returned.

|  |  |
| --- | --- |
| Error Code | Error Message |
| CMN.ATO.BDE.GE6 | Please contact your AUSkey Administrator. This type of file is not able to be sent by the AUSkey holder. |
| CMN.ATO.BDE.GE14 | This file is a duplicate of an already lodged file, reference {DupBET} submitted on {RegDt}. You do not need to send this file again. |
| SBR.GEN.FAULT.INVALIDXML | The request does not validate against the service XML Schema. |
| CMN.ATO.GEN.BDE001 | The document sequence numbers in the SBDH are not unique. |
| CMN.ATO.GEN.BDE002 | The document sequence numbers in the SBDB are not unique. |
| CMN.ATO.GEN.BDE003 | The document sequence numbers in the SBDH and the SBDB do not match. |
| CMN.ATO.GEN.BDE007 | The SBDB must contain at least one business document. |
| CMN.ATO.GEN.BDE010 | All business documents in the uploaded file must have the same Reporting Party. |

SuperTICK Service Response – Bulk Message Specification

As described previously, one or two bulk response files will be produced for each bulk request message file uploaded to the ATO Business Portal.

The Validation Report response file will be available soon after the request file is uploaded and will contain any errors or warnings produced by validating the structure and data in the request file.

The Processing Results response file will contain the results of matching the identity details in each validated SuperTICK request business document.

Both bulk response message files will contain the same number of business documents as the bulk request file. Each business document in the bulk response file will contain messages (match result or validation errors) for the corresponding SuperTICK request business document in the request file.

Document Schema

Each business document instance will conform to the Events schema, as specified in the Superannuation Data and Payment Standards Schedule 6, which is available from the ATO web site ([www.ato.gov.au](http://www.ato.gov.au)) and reproduced in section 6.5.

Standard Business Document Header Content

The WIG provides the specification of the SBDH. The following table specifies the message specific data element values or any variations to the WIG.

| **SBDH Element Name** | **Instructions / Rules** |
| --- | --- |
| sbdm.Message.Type.Text | Either “stic.0002.lodge.response Validation Report”   or “stic.0002.lodge.response Processing Results” |
| sbdm:Lodgement.Receipt.Identifier | The receipt number issued by the ATO for the corresponding uploaded BULK request message file |
| sbdm:Lodgement.Receipt.Datetime | Timestamp for the corresponding uploaded bulk request message file |
| sbdm:MessageEventItems | Only message events that do not relate to a specific business document, such as SBDH validation errors, will be included as MessageEventItems. |

Bulk response message files will contain messages with summary information in the MessageEventItems of the SBDH. These messages are described in the following table.

| **SBR Msg Code** | **Severity** | **Explanation** | **Included in** |
| --- | --- | --- | --- |
| CMN.ATO.BDE .LODGINFO | Information | Short description: ATO Bulk Data Exchange - Lodgement File Information  Summary information about the uploaded bulk request file, including physical filename and file size. | Validation Report and Processing Results |
| CMN.ATO.BDE .VALREPORT | Information | Short description: ATO SuperTICK Bulk Service Validation Report  Summary information about the results of validation the request file, including number of errors found. | Validation Report and Processing Results |
| CMN.ATO.STIC .PROCREPORT | Information | Short description: ATO SuperTICK Bulk Service Processing Report  Summary information about the results of matching the SuperTICK requests in the request file, including number requests processed. | Processing Results only |

SuperTICK Service Response - Standard Business Document Body Content for Bulk Messages

Returning Identity Validation Result

When a SuperTICK request in a bulk request message file is successfully validated, the ATO will validate the Super Fund Member’s details against ATO records. The result of this check will be returned in an Event Item in the in the corresponding business document in the Processing Results bulk response file. The message code, severity and short description will be one of those listed in the following table.

| **Error.Code** | **Severity** | **Short and Long Descriptions** |
| --- | --- | --- |
| CMN.ATO.STIC.VALID | Information | Short: A match has been found for the TFN supplied.  Long: A match has been found for the tax file number and member information supplied. |
| CMN.ATO.STIC .NOTVALID | Information | Short: No match has been found for the TFN supplied.  Long: No match has been found for the tax file number and member information supplied. |
| CMN.ATO.STIC.VALIDWITHCORRDTFN | Information | Short: A match has been found but supplied TFN is not correct.  Long: A match has been found but the supplied tax file number is not correct. The correct tax file number is {Derived TFN}.  Note: the parameter {DerivedTFN} will be replaced by the correct TFN for the matched member details. |

Returning matched corrected TFN

Under Section 299TA of the Superannuation Industry (Supervision) Act 1993, the ATO is permitted to return an alternative TFN to the requesting superannuation provider where a TFN has been provided to the ATO. This would only occur when a TFN has been provided in the request message and a successful match was achieved but the ATO matched a different TFN. If an alternate TFN is identified then this ATO matched TFN is provided back to the superannuation provider as a parameter in the event item.

Under section 299TC of the Superannuation Industry (Supervision) Act 1993 the Commissioner is permitted to return a TFN to a fund, where a TFN has not been provided and the person has quoted (for superannuation purposes) (within the meaning of the Income Tax Assessment Act 1997) their TFN to another person.

Message Event Schema

<?xml version="1.0" encoding="UTF-8"?>

<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema" xmlns:tns="http://sbr.gov.au/comn/event.02.data" targetNamespace="http://sbr.gov.au/comn/event.02.data" elementFormDefault="qualified" version="02.01">

<!-- Reusable types -->

<xs:simpleType name="Event.80CharString.TextType">

<xs:restriction base="xs:string">

<xs:minLength value="1"/>

<xs:maxLength value="80"/>

</xs:restriction>

</xs:simpleType>

<xs:simpleType name="Event.4096CharString.TextType">

<xs:restriction base="xs:string">

<xs:minLength value="1"/>

<xs:maxLength value="4096"/>

</xs:restriction>

</xs:simpleType>

<!-- Event -->

<xs:element name="Event" type="tns:EventType"/>

<xs:complexType name="EventType">

<xs:sequence>

<xs:element name="MaximumSeverity.Code" type="tns:MaximumSeverity.CodeType"/>

<xs:element ref="tns:EventItems"/>

</xs:sequence>

</xs:complexType>

<!-- Event Item -->

<xs:element name="EventItems" type="tns:EventItemsType"/>

<xs:complexType name="EventItemsType">

<xs:sequence>

<xs:element name="EventItem" type="tns:EventItemType" maxOccurs="unbounded"/>

</xs:sequence>

</xs:complexType>

<xs:complexType name="EventItemType">

<xs:sequence>

<xs:element name="Error.Code" type="tns:Error.CodeType"/>

<xs:element name="Severity.Code" type="tns:Severity.CodeType"/>

<xs:element name="Short.Description" type="xs:string" minOccurs="0"/>

<xs:element name="Detailed.Description" type="xs:string" minOccurs="0"/>

<xs:element name="Parameters" type="tns:EventItemParametersType" minOccurs="0"/>

<xs:element name="Locations" type="tns:EventItemLocationsType" minOccurs="0"/>

</xs:sequence>

</xs:complexType>

<xs:simpleType name="Error.CodeType">

<xs:restriction base="tns:Event.80CharString.TextType">

<xs:pattern value="([A-Z0-9])+.([A-Z0-9])+.([A-Z0-9])+.([A-Z0-9])+"/>

</xs:restriction>

</xs:simpleType>

<xs:simpleType name="Severity.CodeType">

<xs:restriction base="xs:string">

<xs:enumeration value="Error"/>

<xs:enumeration value="Warning"/>

<xs:enumeration value="Information"/>

</xs:restriction>

</xs:simpleType>

<xs:simpleType name="MaximumSeverity.CodeType">

<xs:restriction base="xs:string">

<xs:enumeration value="Error"/>

<xs:enumeration value="Partial"/>

<xs:enumeration value="Warning"/>

<xs:enumeration value="Information"/>

<xs:enumeration value="Progressive"/>

</xs:restriction>

</xs:simpleType>

<xs:complexType name="EventItemParametersType">

<xs:sequence>

<xs:element name="Parameter" type="tns:EventItemParameterType" maxOccurs="unbounded"/>

</xs:sequence>

</xs:complexType>

<xs:complexType name="EventItemParameterType">

<xs:sequence>

<xs:element name="Parameter.Identifier" type="tns:Event.80CharString.TextType"/>

<xs:element name="Parameter.Text" type="tns:Event.4096CharString.TextType"/>

</xs:sequence>

</xs:complexType>

<xs:complexType name="EventItemLocationsType">

<xs:sequence>

<xs:element name="Location" type="tns:EventItemLocationType" maxOccurs="unbounded"/>

</xs:sequence>

</xs:complexType>

<xs:complexType name="EventItemLocationType">

<xs:sequence>

<xs:element name="Location.Instance.Identifier" type="tns:Event.4096CharString.TextType"/>

<xs:element name="Location.Path.Text" type="tns:Event.4096CharString.TextType" minOccurs="0"/>

</xs:sequence>

</xs:complexType>

<!-- Event Item Code Lists -->

<xs:element name="EventItemCodeLists" type="tns:EventItemCodeListsType"/>

<xs:complexType name="EventItemCodeListsType">

<xs:sequence>

<xs:element name="EventItemCodeList" maxOccurs="unbounded">

<xs:complexType>

<xs:sequence>

<xs:element ref="tns:EventItems"/>

</xs:sequence>

<xs:attribute name="source" type="xs:string" use="required"/>

<xs:attribute name="version" type="xs:string" use="required"/>

</xs:complexType>

</xs:element>

</xs:sequence>

</xs:complexType>

</xs:schema>