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| Standard Business Reporting  Australian Taxation Office  Assessment  ASMT.0003 2018 Package v1.2.1 Contents  Date: 06th June 2019 | | | |
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| attention_pms This document and its attachments are **Unclassified** | direction_pms **For further information or questions, contact the SBR Service Desk at** [SBRServiceDesk@sbr.gov.au](mailto:SBRServiceDesk@sbr.gov.au) **or call 1300 488 231. International callers may use +61-2-6216 5577** | | |
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VERSION CONTROL

| **Version** | **Release date** | **Description of changes** |
| --- | --- | --- |
| 1.2.1 | 06.06.2019 | No functional or non-functional changes.  **Section 2 Package contents**  **New:**   * **None**   **Pending:**   * **None**   **Present:**   * **ATO ASMT.0003 2018 Message Repository.zip** * **ATO ASMT.0003 2018 List XML Contracts.zip** * **ATO ASMT.0003 2018 List Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 List Response Message Structure Table.xlsx** * **ATO ASMT.0003 2018 List Validation Rules.xlsx** * **ATO ASMT.0003 2018 List Rule Implementation.zip** * **ATO ASMT.0003 2018 Get XML Contracts.zip** * **ATO ASMT.0003 2018 Get Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 Get Validation Rules.xlsx** * **ATO ASMT.0003 2018 Get Rule Implementation.zip** * **ATO ASMT.0003 2018 Get Response Message Structure Table.xlsx**   **Removed:**   * **None**   **Updated:**   * **None**   **Section 4 Known issues and future scope**   * **New issues:** * Issue 11: A note to indicate that certain fields will not be applicable for financial year 2019 and hence, will be removed. * **Updated issues:** * Issue 1: The existing list of unavailable report facts has been updated to reflect: * available facts for the 2018 FY and 2017 FY; and * unavailable facts for the 2018 FY and 2017 FY. * **Closed issues:** * Issue 10: A note to indicate an existing issue in the service and the resolution timeline. |

***Note:*** *Previous Version history can be found in Appendix A of this document.***Copyright**

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# Introduction

## Document purpose

The purpose of this package content note (PCN) is to advise software developers of the package contents for Standard Business Reporting (SBR) Assessment (ASMT) 2018 provided by the Australian Taxation Office (ATO).

## Audience

The audience for this Package Content note is software developers who have or are interested in developing the ASMT services on the SBR ebMS3 platform.

## Purpose of this package

The purpose of this package is to streamline the way message artefacts are presented to software developers.

All relevant message artefacts that comprise the ASMT suite are contained within one zip file. The package will be versioned up each time artefacts are added or updated.

## Summary of artefacts the ATO packages

### In general

For each service, the following artefacts may be released under this package title:

* Message Repository,
* Message Structure Tables (MSTs),
* Contract schemas and samples,
* Rule Implementation (C# /Schematron) and
* Validation Rules (VRs).

The first PCN released outlines the expected artefacts to be within the package at an end state – as known at that point in time.

As a general rule, each service will have at minimum an MST.

Where a ‘suite’ of like services is packaged, there will be service specific MSTs and VRs packaged to form a bundle of related information.

The basic exception to the above is Income tax Returns. Due to complexity of relationships between parent returns and child schedules each has its own set of documents (MSTs and VRs) yet is packaged together as a ‘suite’.

### Services

The following table shows the services that form the ASMT product suite and their relationship to previous service versions:

| **Description** | **Service** | **Change** | **Comments** |
| --- | --- | --- | --- |
| Assessment 2018 | asmt.0003.2018 | Versioned | This service will return the list of issued assessments for the nominated client, account and/or period. For a specific assessment, it will also return the assessment details that determined the assessment outcome, and details of fields that have been adjusted.  The major changes in the 2018 version compared to the 2017 version are:   * In addition to the 2016 and 2017 financial year, assessment outcome details for the 2018 and 2019 financial years (including early lodgers for the 2019 financial year) are returned. |

### Interactions

The following table lists the interactions for a given service within this package.

| **Description** | **Interaction** | **Change** | **Comments** |
| --- | --- | --- | --- |
| Assessment 2018 - List | asmt.0003.2018.list | Versioned |  |
| Assessment 2018 - Get | asmt.0003.2018.get | Versioned |  |

Detailed information on each service can be found in the Service Registry, along with associated messages.

### Package artefact status description

The table in *Section 2 Package Contents* reflects the status of artefacts in the *Package Status* column and needs to be read in conjunction with the *Comments* column in order to understand the scale of change that may be applicable.

The table below describes the terminology used in the *Package Status* column and the business description of the package status:

| **Package Status** | **Business Description** |
| --- | --- |
| **Pending** | The artefact is currently under development and is expected to be released in a future package. |
| **New** | The artefact is new for the package and has not been published previously. This would usually occur when an artefact has previously been marked as Pending (under development) or a new Government/ATO initiative sees the development of new services/messages to be deployed. |
| **Updated** | The artefact has changed since the last public release for this package. It could occur as a result of refining service/messages due to feedback or defects. |
| **Present** | The artefact is within the package and has had no change from the prior package for this year or a previous/forward year where artefacts cross multiple years. |
| **Removed** | The artefact was within the package in a prior release but has been removed as it is not relevant for the service/suite in question. This could occur due to Government legislation waiting on Royal Assent not being passed or other factors. |

# Package contents

The table below outlines the package contents.

| **Name** | | | **Document**  **Date** | **Document Status** | **Version** | **Comments** | **Package Status** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| ATO ASMT.0003 2018 Message Repository.zip | | | 21.06.2018 | Final | 1.0 | No change from prior publication. | Present |
| ATO ASMT.0003 2018 List Request Message Structure Table.xlsx | | | 21.06.2018 | Final | 1.0 | No change from prior publication. | Present |
| ATO ASMT.0003 2018 List Response Message Structure Table.xlsx | | | 21.06.2018 | Final | 1.0 | No change from prior publication. | Present |
| ATO ASMT.0003 2018 List Validation Rules.xlsx | | | 21.06.2018 | Final | 1.0 | No change from prior publication. | Present |
| ATO ASMT.0003 2018 List XML Contracts.zip | | | 21.06.2018 | Final | 1.0 | No change from prior publication. | Present |
| ATO ASMT.0003 2018 List Rule Implementation.zip | | | 21.06.2018 | Final | 1.0 | No change from prior publication. | Present |
| ATO ASMT.0003 2018 Get Request Message Structure Table.xlsx | | | 21.06.2018 | Final | 1.0 | No change from prior publication. | Present |
| ATO ASMT.0003 2018 Get Response Message Structure Table.xlsx | | | 16.05.2019 | Final | 1.2 | No change from prior publication. | Present |
| ATO ASMT.0003 2018 Get Validation Rules.xlsx | | | 21.06.2018 | Final | 1.0 | No change from prior publication. | Present |
| ATO ASMT.0003 2018 Get XML Contracts.zip | | | 21.06.2018 | Final | 1.0 | No change from prior publication. | Present |
| ATO ASMT.0003 2018 Get Rule Implementation.zip | | | 21.06.2018 | Final | 1.0 | No change from prior publication. | Present |
| **Total artefacts in this Package:** | **11** |
| Present artefacts | 11 |
| New artefacts | 0 |
| Updated artefacts | 0 |
| Pending artefacts | 0 |
| Removed artefacts | 0 |

1. C# changes

## Technical changes

The table below outlines the changes made in the C# files where the code has been updated, yet the design artefact have remained the same. Where both the code and design has changed this will be reflected in the individual design artefact (validation rules) maintained in the package, and will not be called out in the table below.

| **Service Action** | **Rule ID** | **Change** | **Previous Rule** | **Previous Message ID** | **New Rule** | **New Message ID** |
| --- | --- | --- | --- | --- | --- | --- |
| No changes. |  |  |  |  |  |  |

***Note:*** *Some modifications to C# may not always have an impact to the technical rule or response message. There may be instances where the change made impacts to content not captured in this section such as the ‘Rule Type’ e.g.: Crossform to Crossfield, or updates to the ‘English Business Rule’ or the Namespace Prefix version associated to a data element has incremented.*

## Event message changes

| **Service Action** | **Rule ID** | **Change** | **Previous Event Message** | **Previous Message ID** | **New Event Message** | **New Message ID** |
| --- | --- | --- | --- | --- | --- | --- |
| No changes. |  |  |  |  |  |  |

1. Known issues and future scope

The following is a list of issues and/or future work to be implemented. There may be impacts to successful development until the issues are resolved or the future scope has been implemented (as an example where a service is deployed over multiple iterations and won’t be complete for some time).

## Issues and incidents

| **Issue**  **#** | **Issue Description** | **Impacted**  **Interactions** | **Reference Number** | **Resolution** | **Proposed**  **EVTE Date** | **Proposed**  **PROD Date** | **Issue Status (Open/**  **Closed/**  **Deferred)** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 1 | A number of report facts will not be available to be returned in the ASMT Get response until advised. | asmt.0003.2018.get | 1142026 | ***Progress update:***  The following report facts have now been updated in ATO systems for the 2017 and 2018 (and 2019 where applicable) financial years and are now available to be returned.  *2018 and 2017 financial years:*   * ASMT216 Other specified exempt payments that your spouse received - Adjustment reason * ASMT417 Exempt foreign employment income * ASMT48 Total credit for tax paid by trustee * ASMT467 WHM net income   *2018 financial year:*   * ASMT507 Is all of your income and deductions in this tax return related to income you earned while you were on a 417 or 462 visa? * ASMT509 Non-employer sponsored superannuation contributions deduction allowed * ASMT510 Other income type - Category 2 (ATO interest) description * ASMT511 Other income type - Category 2 (ATO interest) - Amount * ASMT512 Total of all Category 2 other income associated entries   Updates to ATO systems are in progress to the report facts listed below for the 2018 financial years. This is expected to be resolved in a future release.   * ASMT146 Sum of shortfall amounts   The following report facts have now been removed for the 2019 financial year.   * ASMT513 Other income type - Category 3 description * ASMT514 Other income - Category 3 – Amount * ASMT517 Total of all Category 3 other income associated entries | N/A | *2018 FY fields*  To be scheduled  *2017 FY fields*  To be scheduled | Open |
| 2 | Adjustment history is not available for the following labels:   * ASMT58 Franking credit offset applied to assessed tax * ASMT59 Franking credit offset not applied to assessed tax * ASMT61 Share of National rental affordability scheme tax offset applied to assessed tax * ASMT62 Share of National rental affordability scheme tax offset not applied to assessed tax * ASMT64 Other refundable tax offsets applied to assessed tax * ASMT65 Other refundable tax offsets not applied to assessed tax * ASMT66 Refundable tax offsets total applied to assessed tax * ASMT67 Refundable tax offsets total not applied to assessed tax * ASMT126 SSL compulsory repayment due * ASMT127 SSL compulsory repayment due after partial deferment * ASMT128 SSL full deferment due amount * ASMT129 ABSTUDY SSL compulsory repayment due * ASMT130 ABSTUDY SSL full deferment due amount * ASMT131 ABSTUDY SSL compulsory repayment due after partial deferment | asmt.0003.2018.get | - | Resolution is not available. | Not scheduled | Not scheduled | Open |
| 3 | Missing calculation label relating to the Temporary budget repair levy for the 2016 and 2017 years. | asmt.0003.2018.get | - | The ‘Foreign income tax offset applied to temporary budget repair levy’ is rarely applied.  As the field is only applicable for 2015 – 2017 income tax assessments it will not be added. | Not scheduled | Not scheduled | Open |
| 5 | An incorrect taxonomy element has been assigned to the 2016 partial deferment report facts for SSL, ABSTUDY SSL and HELP. The report guidance is also incorrect.  They currently define the value as the partial deferment amount. They should advise it is the compulsory repayment amount after the partial deferment has been applied. | asmt.0003.2018.get | 1142026 | ***Resolved:***  A new taxonomy element has been applied and the report guidance updated against the following report facts:   * ASMT127 SSL compulsory repayment due after partial deferment * ASMT131 ABSTUDY SSL compulsory repayment due after partial deferment * ASMT120 HELP compulsory repayment due after partial deferment | 17.01.2019 | To be scheduled | Closed |
| 6 | A number of income contingent loan report labels require update for consistency.  Note that the current descriptions are correct but inconsistent. | asmt.0003.2018.get | 1142026 | ***Resolved:***  The following SSL, ABSTUDY SSL, HELP, SFSS and TSL labels have had minor consistency updates:  - ASMT122  - ASMT123  - ASMT119  - ASMT487  - ASMT489  - ASMT126  - ASMT129  - ASMT120  - ASMT127  - ASMT131  - ASMT121  - ASMT128  - ASMT130  - ASMT488 | 17.01.2019 | To be scheduled | Closed |
| 7 | A number of parent sequence numbers in the Field Codes worksheet of the ATO Get Response Message Structure Table are incorrect. | asmt.0003.2018.get | 1142026 | ***Resolved:***  Updates have been made to correct the parent sequence number for the following report labels and headings in the ATO ASMT.0003 2018 Get Response Message Structure Table.xlsx - Field Codes worksheet:  *Headings*  - PAYG withholding credits  - Credit for tax paid by  trustee  *Labels*  - ASMT24  - ASMT25  - ASMT26  - ASMT27  - ASMT28  - ASMT52  - ASMT120  - ASMT121  - ASMT127  - ASMT128  - ASMT130  - ASMT131  - ASMT132  - ASMT146 | 17.01.2019 | To be scheduled | Closed |
| 8 | Two AASIS fields are not returned by the service. | asmt.0003.2018.get | 1142026 | ***Resolved:***  Two new fields will be returned for the 2018 financial year:   * ASMT531 AASIS Assessable amount from capped defined benefit income stream * ASMT532 AASIS Assessable amount from capped defined benefit income stream - Adjustment reason | 17.01.2019 | To be scheduled | Closed |
| 9 | The context instance label of ‘RP.PrimaryProduction’ should be ‘RP.Prim’.  Note that the dimensions defined for the label are correct. | asmt.0003.2018.get | 903722 | ***Resolved:***  The context instance label has been updated to ‘RP.Prim’ against the following:   * ASMT223 PP Landcare operations and deduction for decline in value of water facility - Adjustment reason | 17.01.2019 | To be scheduled | Closed |
| 10 | The indicator fields (Residency.TaxPurposesPersonStatus.Indicator and Remuneration.WorkingHolidayMakerAllIncome.Indicator) returned with invalid XML values; namely ‘Y’/’N’. | asmt.0003.2018.get |  | ***Resolved:***  These fields now return valid XML values; namely ‘true’/’false’. | 16.05.2019 | To be scheduled | Closed |
| 11 | The fields listed below are now deemed to be not applicable for financial year 2019:   * ASMT542 - STP provided income data on payment summary (income statement) finalised indicator * ASMT543 - Use of unfinalised income data on STP payment summary (income statement) accepted indicator * ASMT544 - STP provided Employment Termination Payment (ETP) data finalised indicator in payment summary (income statement) * ASMT545 - Unfinalised Employment Termination Payment (ETP) data accepted indicator on STP payment summary (income statement) | asmt.0003.2018.get |  | The impacted fields will be removed from the service in a future release. | To be scheduled | To be scheduled | Open |

## Future scope

| **Change**  **#** | **Change Description** | **Impacted**  **Interactions** | **Proposed**  **EVTE Date** | **Proposed**  **PROD Date** | **Change Status (Open/**  **Closed)** |
| --- | --- | --- | --- | --- | --- |
|  | None |  |  |  |  |

Appendix A – Prior Version History

| **Version** | **Release date** | **Description of changes** |
| --- | --- | --- |
| 1.2 | 16.05.2019 | Functional and non-functional updates to the Get response message design.  **Section 2 Package contents**  **New:**   * **None**   **Pending:**   * **None**   **Present:**   * **ATO ASMT.0003 2018 Message Repository.zip** * **ATO ASMT.0003 2018 List XML Contracts.zip** * **ATO ASMT.0003 2018 List Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 List Response Message Structure Table.xlsx** * **ATO ASMT.0003 2018 List Validation Rules.xlsx** * **ATO ASMT.0003 2018 List Rule Implementation.zip** * **ATO ASMT.0003 2018 Get XML Contracts.zip** * **ATO ASMT.0003 2018 Get Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 Get Validation Rules.xlsx** * **ATO ASMT.0003 2018 Get Rule Implementation.zip**   **Removed:**   * **None**   **Updated:**   * **ATO ASMT.0003 2018 Get Response Message Structure Table.xlsx:** * ***Functional updates:*** * 22 new report facts added for 2019 financial year * 5 report facts removed from 2019 financial year * Context instance label ‘RP’ updated to ‘RP.Eligible’ for 1 element * Context instance label ‘RP’ updated to ‘RP.PHISeqNum’ for 3 elements   ***Non-functional updates:***   * None   **Section 4 Known issues and future scope**   * **Updated issues:** * Issue 1: The existing list of unavailable report facts has been updated to reflect: * available facts for the 2018 FY and 2017 FY; and * unavailable facts for the 2018 FY and 2017 FY. * **Closed issues:** * Issue 10: A note to indicate an existing issue in the service and the resolution timeline. |
| 1.1 | 17.01.2019 | Functional and non-functional updates to the Get response message design.  **Section 2 Package contents**  **New:**   * **None**   **Pending:**   * **None**   **Present:**   * **ATO ASMT.0003 2018 Message Repository.zip** * **ATO ASMT.0003 2018 List XML Contracts.zip** * **ATO ASMT.0003 2018 List Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 List Response Message Structure Table.xlsx** * **ATO ASMT.0003 2018 List Validation Rules.xlsx** * **ATO ASMT.0003 2018 List Rule Implementation.zip** * **ATO ASMT.0003 2018 Get XML Contracts.zip** * **ATO ASMT.0003 2018 Get Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 Get Validation Rules.xlsx** * **ATO ASMT.0003 2018 Get Rule Implementation.zip**   **Removed:**   * **None**   **Updated:**   * **ATO ASMT.0003 2018 Get Response Message Structure Table.xlsx:** * ***Functional updates:***   . 2 new 2018 AASIS report facts returned.  . Taxonomy element replaced for the 2016 SSL, ABSTUDY SSL and HELP compulsory repayment amount due after partial deferment facts, and the report guidance updated.  . Context instance label ‘RP.PrimaryProduction’ updated to ‘RP.Prim’.   * ***Non-functional updates:***   . Parent sequence numbers corrected in the Field Codes worksheet.  . Income contingent loan report labels updated for consistency.  **Section 4 Known issues and future scope**   * **Updated issues:** * Issue 1: The existing list of unavailable report facts has been separated into: * unavailable facts for the 2018 FY; and * unavailable facts for the 2017 FY.   This is in anticipation of the 2018 FY fields being available by 30 June 2019.   * **Closed issues:** * Issue 5: Incorrect taxonomy element and report guidance have been replaced for the 2016 partial deferment facts for SSL, ABSTUDY SSL and HELP. * Issue 6: Income contingent loan labels have had minor updates for consistency. * Issue 7: A number of Parent sequence numbers in the Field Codes worksheet of the ATO Get Response Message Structure Table have been corrected. * Issue 8: Two additional AASIS report facts for the 2018 financial year are available to be returned by the service. * Issue 9: The context instance label of ‘RP.PrimaryProduction’ has been updated to ‘RP.Prim’. |
| 1.0.1 | 13.12.2018 | Updates to known issues.  No functional changes made.  **Section 2 Package contents**  **New:**   * **None**   **Pending:**   * **None**   **Present:**   * **ATO ASMT.0003 2018 Message Repository.zip** * **ATO ASMT.0003 2018 List XML Contracts.zip** * **ATO ASMT.0003 2018 List Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 List Response Message Structure Table.xlsx** * **ATO ASMT.0003 2018 List Validation Rules.xlsx** * **ATO ASMT.0003 2018 List Rule Implementation.zip** * **ATO ASMT.0003 2018 Get XML Contracts.zip** * **ATO ASMT.0003 2018 Get Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 Get Response Message Structure Table.xlsx** * **ATO ASMT.0003 2018 Get Validation Rules.xlsx** * **ATO ASMT.0003 2018 Get Rule Implementation.zip**   **Removed:**   * **None**   **Updated:**   * **None**   **Section 4 Known issues and future scope**   * **Added known issues 5 to 9:** * **Issue 5: Incorrect taxonomy element and report guidance have been assigned to the 2016 partial deferment report labels for SSL, ABSTUDY SSL and HELP.** * **Issue 6: A number of income contingent loan report labels require update for consistency.** * **Issue 7: A number of parent sequence numbers in the Field Codes worksheet of the ATO Get Response Message Structure Table are incorrect.** * **Issue 8: Two AASIS fields for the 2018 financial year are not returned by the service.** * **Issue 9: The context instance label of ‘RP.PrimaryProduction’ should be ‘RP.Prim’.** * **Closed issues:** * **Issue 4: The taxonomy element applied to the ‘Total tax withheld’ report label will not be aligned between IITR.0005 and ASMT.0003.** |
| 1.0 | 21.06.2018 | **High level synopsis between 2017 and 2018**   1. Assessment outcome details for the 2018 financial year (including early lodgers for the 2019 financial year) are also able to be returned. 2. XML schema structures have been updated however no schema report labels have been added or removed. 3. Additional validation rules have been applied. 4. Message structure tables (including the field codes that may be returned in the Get response payload), validation rules and messages have been impacted from the previous service version due to the above. 5. Rule Implementation (C#) code has been provided.   **Section 2 Package contents**  **New:**   * **ATO ASMT.0003 2018 Get XML Contracts.zip** * **ATO ASMT.0003 2018 Get Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 Get Response Message Structure Table.xlsx** * **ATO ASMT.0003 2018 Get Validation Rules.xlsx** * **ATO ASMT.0003 2018 Get Rule Implementation.zip**   **Pending:**   * **None**   **Present:**   * **None**   **Removed:**   * **None**   **Updated:**  *Functional change:*   * **ATO ASMT.0003 2018 Message Repository.zip** * **ATO ASMT.0003 2018 List XML Contracts.zip** * **ATO ASMT.0003 2018 List Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 List Validation Rules.xlsx** * **ATO ASMT.0003 2018 List Rule Implementation.zip**   *Non-functional change:*   * **ATO ASMT.0003 2018 List Response Message Structure Table.xlsx**   **Section 3 C# changes**   * Documented event message changes in Section 3.2   **Section 4 Known issues and future scope**   * Updated issues 1 with applied resolution. * Added issues 2 to 6 with resolution where available.   Updated Future scope Change 1 with applied resolution. |
| 0.1 | 17/05/2018 | Initial release. Draft for consultation.  **High level synopsis between 2017 and 2018**   1. Assessment outcome details for the 2018 financial year (including early lodgers for the 2019 financial year) are also able to be returned. 2. XML schema structures have been updated however no schema report labels have been added or removed. 3. Additional validation rules have been applied. 4. Message structure tables (including the field codes that may be returned in the Get response payload), validation rules and messages have been impacted from the previous service version due to the above. 5. Rule Implementation (C#) code has been provided.   **New:**   * **ATO ASMT.0003 2018 Message Repository.zip** * **ATO ASMT.0003 2018 List XML Contracts.zip** * **ATO ASMT.0003 2018 List Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 List Response Message Structure Table.xlsx** * **ATO ASMT.0003 2018 List Validation Rules.xlsx** * **ATO ASMT.0003 2018 List Rule Implementation.zip**   **Pending:**   * **ATO ASMT.0003 2018 Get XML Contracts.zip** * **ATO ASMT.0003 2018 Get Request Message Structure Table.xlsx** * **ATO ASMT.0003 2018 Get Response Message Structure Table.xlsx** * **ATO ASMT.0003 2018 Get Validation Rules.xlsx** * **ATO ASMT.0003 2018 Get Rule Implementation.zip**   **Present:**   * **n/a**   **Removed:**   * **None**   **Updated:**   * **None** |